

CORPORATE CLIENT APPLICATION FORM

Section A: Company's Details

Registered Number
Registered Corporate Name
Trading Name
Country and Date of Incorporation
Registered Office Address
Head Offices' Addresses
Tax ID
VAT Number
Telephone Number(s)
Fax Number(s)
Corporate E-mail Address
Website

The company is listed in a regulated stock exchange within the EEA or in a third country which is subject to disclosure requirements consistent with EU community legislation:

Yes No Name of the exchange

The company is authorized or regulated to operate in the financial markets:

Yes No Name of the regulator

Please indicate whether the company meets any of the following size requirements:

Balance sheet total €20 000 000 Net turnover €40 000 000 Own funds €2 000 000

Company Director(s)

Title
Full Name
Nationality
Passport Number
Date of Birth
Residential Address

Title
Full Name
Nationality
Passport Number
Date of Birth
Residential Address

Economic Profile

Principal Business Activities
Balance Sheet Total
Net Turnover
Own Funds
Size of the Financial Instruments Portfolio
Purpose for Opening an Account
Sources of Funds for an Account

Nature of Anticipated Transactions

Anticipated Monthly Account Turnover

Expected Destination of Outgoing Transfers and Payments

Section B: Person(s) Authorised to Operate the Account

Title

Full Name

Nationality

Passport Number

Date of Birth

Place of Birth

Residential Address

Telephone Number(s)

E-mail

Occupation

Employer

Title

Full Name

Nationality

Passport Number

Date of Birth

Place of Birth

Residential Address

Telephone Number(s)

E-mail

Occupation

Employer

Section C: Qualification Test

I/we hereby request that the company be treated by BCS as a professional client in respect of any and all Services and Transactions contemplated by the Terms of Business for Professional Clients and Eligible Counterparties of BrokerCreditService (Cyprus) Limited. I/we understand and agree that this classification will result in a lesser degree of protection for the company.

Please select as appropriate:

- the company has carried out transactions in significant size on a relevant market at an average frequency of ten (10) transactions per quarter over the previous four quarters.
- the company's investment portfolio (which includes both the client's assets and funds) exceeds €500,000.
- the company's authorised persons designated in Section B above, work or have worked in the financial sector for at least one year in a professional position, requiring knowledge for the transactions and/or services that it anticipates to undertake with BCS.

In respect of each of the company's representatives designated in Section B above, please, complete the below:

Full Name

Academic Qualification

Professional Qualification

Work Experience

Has the representative ever –

been convicted of any offence involving fraud, theft, false accounting, serious tax offences, market manipulations or insider dealing? No Yes

been found guilty of carrying on any unauthorised regulated activities or been investigated for the possible carrying on of unauthorised regulated activities? No Yes

been disqualified from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association? No Yes

Is the representative, or has the representative ever been, appointed, elected or otherwise engaged as a controller, director, senior manager, or partner in any entity? No Yes

Does the representative, hold or has the representative ever held, any licence, authorisation, registration, notification, membership or other permission granted by any governmental or statutory authority or any other regulatory or self-regulatory body? No Yes

Is the representative, or has the representative ever been, the subject of an investigation into allegations of misconduct or malpractice in connection with any business activity? No Yes

Has the representative or any company, partnership or unincorporated association of which the representative or has been a controller, director, senior manager, or partner, during the representative's association with that entity and for a period of three years after the candidate ceased to be associated with it, ever –

been refused, had revoked, restricted or terminated, any licence, authorisation, registration, notification, membership or other permission granted by any governmental or statutory authority or any other regulatory or self-regulatory body? No Yes

been criticised, censured, disciplined, suspended, expelled, fined, or been the subject of investigation or any disciplinary action by any such body? No Yes

been adjudged by a court liable for any fraud, misfeasance, wrongful trading or other misconduct? No Yes

been the subject of any bankruptcy proceedings, or proceedings for the sequestration of the authorised person's estate or put into liquidation, wound up, ceased trading, had a receiver or administrator appointed or entered into any voluntary arrangement with its creditors? No Yes

Full Name

Academic Qualification

Professional Qualification

Work Experience

Has the representative ever –

been convicted of any offence involving fraud, theft, false accounting, serious tax offences, market manipulations or insider dealing? No Yes

been found guilty of carrying on any unauthorised regulated activities or been investigated for the possible carrying on of unauthorised regulated activities? No Yes

been disqualified from acting as a director of a company or from acting in a management capacity or conducting the affairs of any company, partnership or unincorporated association? No Yes

Is the representative, or has the representative ever been, appointed, elected or otherwise engaged as a controller, director, senior manager, or partner in any entity? No Yes

Does the representative, hold or has the representative ever held, any licence, No Yes

authorisation, registration, notification, membership or other permission granted by any governmental or statutory authority or any other regulatory or self-regulatory body? No Yes

Is the representative, or has the representative ever been, the subject of an investigation into allegations of misconduct or malpractice in connection with any business activity? No Yes

Has the representative or any company, partnership or unincorporated association of which the representative or has been a controller, director, senior manager, or partner, during the representative's association with that entity and for a period of three years after the candidate ceased to be associated with it, ever –

been refused, had revoked, restricted or terminated, any licence, authorisation, registration, notification, membership or other permission granted by any governmental or statutory authority or any other regulatory or self-regulatory body? No Yes

been criticised, censured, disciplined, suspended, expelled, fined, or been the subject of investigation or any disciplinary action by any such body? No Yes

been adjudged by a court liable for any fraud, misfeasance, wrongful trading or other misconduct? No Yes

been the subject of any bankruptcy proceedings, or proceedings for the sequestration of the authorised person's estate or put into liquidation, wound up, ceased trading, had a receiver or administrator appointed or entered into any voluntary arrangement with its creditors? No Yes

BCS reserves the right to evaluate the appropriateness of the company's classification.

Section D: Politically Exposed Persons (PEPs)

Please, state whether any of the natural persons stated here below are Politically Exposed Persons

Any authorised signatory and/or person authorised to act on behalf of the company No Yes

If yes, please give details below

.....
Any of the immediate family members or persons known to be closed associates of the persons stated here above No Yes

If yes, please give details below

Section E: Account Type (please, select one box)

Execution Only

Safekeeping

Margin

Section F: Settlement Instructions

Settlement Accounts Please, see standard settlement instructions attached

Section G: Status of the Account

Does the company act (or will act) on behalf of any third party in relations with BCS? No Yes

Is the account the company is requesting an Omnibus Account (i.e. the account is requested on behalf of the company's customers)? No Yes

Section H: Declaration

I/We, jointly and severally, declare that:

- I/We have downloaded from the official website www.bcscyprus.com, read, fully understood and agree to be bound by the Terms of Business for Professional Clients and Eligible Counterparties of BrokerCreditService (Cyprus) Limited and hereby request and authorise BCS to open an account for the company on the terms set out therein;
- I/We have downloaded from the official website www.bcscyprus.com, read, fully understood and consent to the Best Execution Policy and the Conflict of Interest Policy;
- I/We have downloaded from the official website www.bcscyprus.com, read and fully comprehend the Risk Disclosure Statement and understood the nature and the risks of the Services and Transactions contemplated by the Terms of Business for Professional Clients and Eligible Counterparties of BrokerCreditService (Cyprus) Limited;
- I/We represent that the company satisfies the criteria for being classified as a professional client or eligible counterparty (as the case may be) in terms of knowledge, skill and resources and fully understands limited protection associated with its classification;
- I/We consent to any updates or amendments to the foregoing documents be provided via e-mail or by publication on the official website www.bcscyprus.com and confirm that the company has and will continue to have regular access to the Internet and e-mail;
- I/We warrant that we have full power and authority to open and operate the account in accordance with the Board resolutions, the company's memorandum and articles of association and any other constitutional documents and without breach of any law, regulation, restriction or obligation binding on the company or its assets;
- I/We confirm that the source of funds in respect of investing are good, clean, cleared, of non-criminal origin were legally earned;
- I/We warrant that all relevant data subjects whose personal data I/we have supplied hereunder have given their informed consent for BCS processing, holding, retaining and disclosing the personal data and have expressly consented to the transfer of the personal data to any country including countries outside the European Economic Area (which may not have data protection laws which are commensurate with those in force in the Republic);
- I/We have provided true, accurate and complete information and authorise BCS to make any enquiries which it may consider necessary for confirmation of such information and undertake to update BCS of any changes to the information provided without delay;
- I/We understand that it may be necessary for BCS to request further documentation and information in order to complete the due diligence process on the company.

Dated:

Full Name

Signature

Director